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| Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary) | Atty Docket No. | GRAMP005 |
| | Application No.: | 10/817,564 |
| | Applicant | David Graham |
| | Filing Date | April 1, 2004 |
| | Group | 2629 |
| | | Page 1 of 1 |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|------|----------|-------|-----------|-------------|
| | 1. | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | | Document No. | Publication Date | Country or Patent Office | Class | Sub-Class | Translation | |
|------------------|----|-----------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | 2. | JP 2001-514779A | 09/11/01 | JP | | | | |
| | 3. | JP 61-043326A | 03/01/86 | JP | | | Abstract | |
| | 4. | JP 11-119912A | 04/30/99 | JP | | | Yes | |
| | 5. | JP 07-253853A | 10/03/95 | JP | | | Yes | |
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| | 7. | Office Action in Chinese Patent Application No. 200580026392.8 dated December 12, 2008. |
| | 8. | Notice of Allowance in 10/862,003, mailed March 9, 2009. |
| | 9. | Allowed Claims from 10/862,003. |
| | 10. | Office Action in Japanese Patent Application No. 2006-509663 dated October 13, 2009 |
| | 11. | Notice of Allowance dated January 8, 2009 from U.S. Patent Application No. 11/612,099 |
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| | 13. | Paloczi et al. "Free-standing All-polymer Microring Resonator Optical Filter", Electronics Letters, IEE Stevebage, GB, Vol. 39, No. 23, Nov. 13, 2003, 2 pages |
| | 14. | International Search Report dated March 22, 2006 from International Patent Application No. PCT/US2005/019560 |
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| Examiner | Date Considered |
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.